

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<i>4</i>		
(Only for new nonprovisional applications under 37 CFR 1.53(b))				
		01/10/02		
APPLICATION ELEMENTS				
See MPEP chapter 600 concerning utility patent application contents				
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>		a. <input type="checkbox"/> Computer Readable Form (CRF)		
		b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or) ii. <input type="checkbox"/> paper		
		c. <input type="checkbox"/> Statements verifying identify of above copies		
4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) <span style="border: 1px solid black; padding: 2px;">[Total Sheets 6]</span>				
5. <input checked="" type="checkbox"/> Oath or Declaration <span style="border: 1px solid black; padding: 2px;">[Total Pages 3]</span>				
a. <input checked="" type="checkbox"/> Newly executed (original or copy)		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) <span style="border: 1px solid black; padding: 2px;">✓</span>		
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <span style="border: 1px solid black; padding: 2px;">✓</span> Power of <i>(when there is an assignee)</i> Attorney by Assignee		
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i>		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR. 1.76.		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <span style="border: 1px solid black; padding: 2px;">□</span> Copies of IDS Citations		
		13. <input type="checkbox"/> Preliminary Amendment		
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</i>		
		17. <input checked="" type="checkbox"/> Other: <span style="border: 1px solid black; padding: 2px;">Express Mail Certificate</span>		
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part    of prior acceptance No.: _____ / _____				
Prior application information: Examiner: _____ Group/Art Unit: _____				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.				
<b>CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="border: 1px solid black; padding: 2px;">(Insert Customer)</span>		<span style="border: 1px solid black; padding: 2px;">(Insert Bar Code Label here)</span> or <input type="checkbox"/> Correspondence address below		
Name	26379			
Address	PATENT TRADEMARK OFFICE			
City	State	Zip Code		
Country	Telephone	Fax		
NAME (Print/Type)	Edward B. Weller	Registration No. (Attorney/Agent)	37,468	
Signature	<i>Edward B. Weller</i>		Date	January 10, 2002

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 1,308.00)

Complete if Known	
Application Number	Not yet assigned
Filing Date	01/10/02
First Named Inventor	Hieu Van Tran et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	2102397-991260

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	07-1896
Deposit Account Name	Gray Cary Ware & Freidenrich LLP

Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check in the amount of \$ \$ 1,308.00

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00		
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			

**SUBTOTAL (1) (\$ 740.00)**

### 2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	40	-20** = 20	X 18	= 360.00
Independent	5	-3** = 2	X 84	= 168.00
Claims				
Multiple Dependent		X		=

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	80	209	42	** Reissue independent claims over original patent		
110	18	210	9	** Reissue claims in excess of 20 and over original patent		

**SUBTOTAL (2) (\$ 528.00)**

*\*\*or number previously paid, if greater; For Reissues, see above*

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for ex parte reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	400	216	200	Extension for reply within second month			
117	920	217	460	Extension for reply within third month			
118	1,440	218	720	Extension for reply within fourth month			
128	1,960	228	980	Extension for reply within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive – unavoidable			
141	1,280	241	640	Petition to revive – unintentional			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Processing fee under 37 CFR 1.17(q)			
126	180	126	180	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per property (times number of properties)			40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			

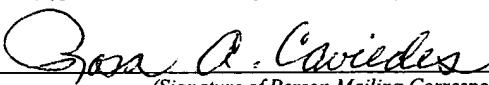
Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 40.00)**

## SUBMITTED BY

Name (Print/Type)	Edward B. Weller	Registration No. (Attorney/Agent)	37,468	Telephone	(650) 833-2436
Signature	<i>Edward B. Weller</i>			Date	January 10, 2002

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>				Docket No.
Applicant(s): Tran et al				2102397-991260
Serial No. Not yet assigned	Filing Date January 10, 2002	Examiner Not yet assigned	Group Art Unit Not yet assigned	
<b>Invention: BIAS DISTRIBUTION NETWORK FOR DIGITAL MULTILEVEL NONVOLATILE FLASH MEMORY</b>				
<p>I hereby certify that this <u>Fee Transmittal for FY 2002; Utility Application Transmittal Letter; Utility Patent Application (25 pgs.) with 6 sheets of Informal Drawings; Declaration for Patent – (3 pgs.); Recordation Form Cover Sheet with Assignment (4 pgs.); Power of Attorney by Assignee; Check for \$1,308.00; and Return Post Card.</u></p> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner of Patents and Trademarks, Washington, D.C., 20231-0001 on <u>January 10, 2002</u></p> <p style="text-align: center;"><i>(Date)</i></p>				
<u>Rosa A. Caviedes</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small>  <small>(Signature of Person Mailing Correspondence)</small> <u>EL 904 946 451 US</u> <small>("Express Mail" Mailing Label Number)</small>				
<p><b>Note: Each paper must have its own certificate of mailing.</b></p> <p><b>EL 904 946 451 US</b></p>				

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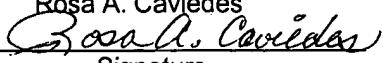


PTO/SB/05 (03-01)

Express Mail Label Number: EL 904 946 451 US

I hereby certify that this Utility Patent Application is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner of Patents & Trademarks; Washington, DC 20231.

January 10, 2002  
Date

Rosa A. Caviedes  
  
Signature

2000-01-10